

FORM PTO-1390 (Rev 5-93)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>ZAHFRI P736US</b>	
<b>TRANSMITTAL LETTER TO THE UNITED STATES          DESIGNATED/ELECTED OFFICE (DO/EO/US)          CONCERNING A FILING UNDER 35 U.S.C. 371</b>				U.S. APPLICATION NO. <b>107529714</b>	
INTERNATIONAL APPLICATION NO. <b>PCT/EP2003/010759</b>		INTERNATIONAL FILING DATE <b>September 26, 2003</b>		PRIORITY DATE CLAIMED <b>October 1, 2002</b>	
TITLE OF INVENTION <b>DEVICE FOR THE OPTIMIZATION OF HYDRAULICALLY CONTROLLED ENGAGEMENT OF CLUTCHES          USED IN MARINE TRANSMISSIONS</b>					
APPLICANT(S) FOR DO/EO/US <b>Giampaolo MAZZONETTO and Paolo CATTAPAN</b>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. (PCT/IB/308 mailed <b>15 April 2004</b> ). c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> The International Application, with paragraph numbering added thereto, is attached w/original drawings.. 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <b>Items 11. to 16. below concern other document(s) or information included:</b> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 with PTO/SB/08A/08B. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification w/Marked-Up Version of Amended Specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: <input checked="" type="checkbox"/> Preliminary Examination Report <input checked="" type="checkbox"/> Annexes to Pre. Ex. Rep. <input checked="" type="checkbox"/> International Search Report <input type="checkbox"/> German Novelty Search Report <input checked="" type="checkbox"/> <u>4</u> copies of citations <input checked="" type="checkbox"/> Form PCT/IB/308 <input checked="" type="checkbox"/> International Publ. No. <b>WO 2004/031592 A1 (Face page only)</b> <input checked="" type="checkbox"/> Copy of Request <input checked="" type="checkbox"/> Submission of Formal Drawings <input checked="" type="checkbox"/> <u>5</u> sheets of formal drawings <input checked="" type="checkbox"/> Abstract <input type="checkbox"/> Applicant Claims Small Entity Status <input type="checkbox"/> Copy of Notification of File Missing Parts					

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Transmittal Letter and the papers indicated as being transmitted therewith is being deposited with the United States Postal Service on this date **March 29, 2005** in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EV 544788276 US** addressed to the: Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

**Michael J. BUJOLD**  
 (typed or printed name of person mailing paper)

  
 (signature of person mailing paper)

17. ■ The following fees are submitted:

**Basic National Fee (37 CFR 1.492(a)(5):**

Search Report has been prepared by the EPO or JPO ..... \$1000.00

International preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(1) .. \$750.00

No international preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(2)  
but international search fee paid to USPTO (37 CFR 1.445(a)(2)). ..... \$790.00Neither international preliminary examination fee (37 CFR 1.492)(a)(3)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1,110.00International preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(4)  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

1000

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months  
from the earliest claimed priority date (37 CFR 1.492(e)).

0

Claims	Number Filed	Number Extra	Rate		
Total Claims	4 - 20 =	0	x \$ 50.00	0	
Independent Claims	2 - 3 =	0	x \$200.00	0	
Multiple dependent claim(s) (if applicable)			+ \$360.00	0	

TOTAL OF ABOVE CALCULATIONS =

1000

Reduction by 1/2 for filing by small entity, if applicable. **Applicant Claims Small Entity Status.** (Note 37 CFR 1.9, 1.27, 1.28).

0

SUBTOTAL =

1000

Processing fee of \$130.00 for furnishing the English translation later the ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)). +

0

TOTAL NATIONAL FEE =

1000

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

0

TOTAL FEES ENCLOSED =

1000

Amount to be:  
refunded

\$

charged

\$

a. ■ A check in the amount of \$ 1,000.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. 04-0213 in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.c. ■ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
Deposit Account No. 04-0213. A duplicate copy of this sheet is enclosed.**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a)  
or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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3/29/05

10/529714

PATENT APPLICATION  
JC17 Rec'd PCT/PTO 29 MAR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Giampaolo MAZZONETTO and  
Serial no. : Paolo CATTAPAN  
For : DEVICE FOR THE OPTIMIZATION OF  
HYDRAULICALLY CONTROLLED  
ENGAGEMENT OF CLUTCHES USED IN  
MARINE TRANSMISSIONS  
Docket : ZAHFRI P736US

**MAIL STOP PCT**

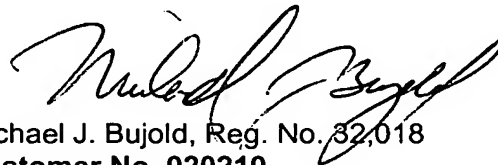
The Commissioner for Patents  
U.S. Patent & Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

**SUBMISSION OF FORMAL DRAWINGS**

Enclosed please find five (5) sheets of formal drawings which are to be entered in this case.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,



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